



Seedor \$

Docket No.:

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Susan Davis ALLEN

Serial No.: 09/909,992

Confirm. No.: 1377

Filed: July 23, 2001

For: METHOD AND APPARATUS FOR REMOVAL OF MINUTE PARTICLES  
FROM A SURFACE USING THERMOPHORESIS TO PREVENT  
PARTICLE REDEPOSITION

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Group Art Unit: 2881  
:  
Examiner: Unassigned  
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:

**REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**FILING DATE GRANTED**

**Box Missing Parts**

Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

In reply to the Notice of Missing Parts of Application dated **September 4, 2001**, submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney.
2. Copy of Form PTO-1533 (Notice of Missing Parts).
3. Filing Fee of **\$370.00**
4. Additional claim fee of **\$135.00**
5. Surcharge of **\$65.00**
6. Assignment and Recordation Cover Sheet, and fee.

A check in the amount of **\$570.00** for 3-5 indicated above (Check #01428) is enclosed.

Serial No.: 09/909,992

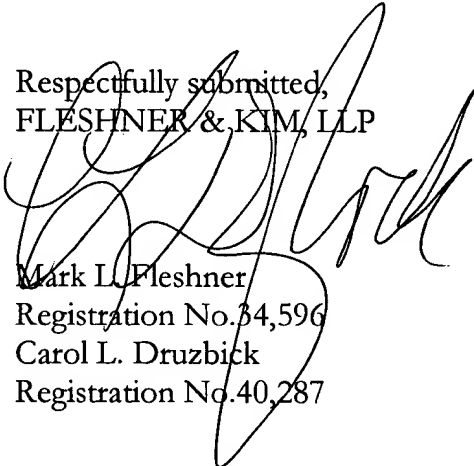
Attorney Docket No.: FSU-0004

A check in the amount of **\$40.00** (Check #01422), representing the recordation fee for the Assignment is enclosed.

It is requested that an Official Filing Receipt showing the data contained herewith now be issued.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 16-0607 and please credit any excess fees to such deposit account.

Respectfully submitted,  
FLESHNER & KIM, LLP



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**Date: October 31, 2001**

MLF/CLD:lms



# UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,992	07/23/2001	Susan Davis Allen	FSU-0004

**CONFIRMATION NO. 1377**

## FORMALITIES LETTER



\*OC000000006512185\*

FLESHNER & KIM, LLP  
P.O. Box 221200  
Chantilly, VA 20153-1200

Date Mailed: 09/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$129.
  - \$9 for 1 total claims over 20.
  - \$120 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 549.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/01/2001 JBALINAN 00000076 09909992

01 FC:201	370.00 OP
02 FC:202	126.00 OP
03 FC:203	9.00 OP
04 FC:205	65.00 OP